



**HOMEBUILDER AGREEMENT:
2017 ENTERGY SOLUTIONS (ENTERGY)
HIGH-PERFORMANCE HOMES PROGRAM**

To qualify for participation in the Entergy Solutions 2017 High-Performance Homes Program, this Agreement, (the “Agreement”) is made by and between _____ (“Homebuilder”) and ICF Resources, L.L.C. (“ICF”). ICF is the Program Administrator (“Program Administrator”) of Entergy’s 2017 High-Performance Homes Program (“Program”).

- 1. Participation Award.** For each home that meets the Program Requirements (as hereinafter defined), the Program hereby agrees to pay an Incentive Payment (as hereinafter defined), as applicable, based on the 2017 financial incentive schedule listed below. In recognition that applications to participate may exceed available incentive availability, Entergy will allocate incentive dollars based on each application received throughout the Program year. The total amount of any Incentive Payments that Homebuilder is eligible to receive will be posted to the Program reporting database once an application has been reviewed and approved. Upon approval, the Homebuilder shall be notified through automatic e-mail sent from the database. Incentive amounts are subject to adjustment at any time by the Program Administrator based on compliance with milestones, changes in incentive budget, or other factors that may arise during the Program year and are not guaranteed. Incentive Payments may be paid to Homebuilder for qualified homes that meet the Program Home Eligibility Requirements outlined here and in subsequent announcements.
- 2. 2017 Financial Incentives.** Entergy will offer incentives to reward Homebuilders who deliver homes that meet current Program guidelines (kilowatt hour (kWh) savings). The number of incentives awarded to each Homebuilder is determined through a competitive bid and scoring process.

The incentive structure is designed to measure kWh savings achieved above the minimum Texas Baseline Reference Home. To be eligible for participation, a home must achieve at least a ten percent (10%) savings over the 2017 Texas Baseline Reference Home. Incentives are then paid based on Tables 1-4. The incentives in Tables 1, 3, and 4 are not cumulative. Homes that meet the current ENERGY STAR® requirements are eligible for an additional fifty dollar (\$50) incentive per home for certifying the home as ENERGY STAR®.

Table 1: 2017 Base Incentive Option – No Tier

2017 Base Incentive – No Tier Home	
Texas Baseline Area	2012/2015 IECC Area
\$100.00 per Home, Home must meet a minimum of ten percent (10%) kWh savings over the 2017 TBRH	\$75.00 per Home, Home must meet a minimum of ten percent (10%) kWh savings over the 2017 TBRH

Table 2: 2017 ENERGY STAR Bonus Incentive

2017 ENERGY STAR Bonus Incentive – Incentive added to Base Incentive or Tier Incentive		
ENERGY STAR Bonus	\$50.00	Homes must meet ENERGY STAR® version 3.0 or 3.1 to qualify, dependent on the energy code for the homes location.

Table 3: Bonus Incentive Option - Gulf Coast Region (Climate Zone 2) – Texas Baseline Area

2017 Bonus Incentive Schedule – Gulf Coast Region (Climate Zone 2) – Texas Baseline IECC Area								
	Incentive Amount Per Home	Nominal Cooling SEER/GHP EER	LTO Duct Sealing (CFM25/100 SF)	Infiltration Value – Entered	Rated Window SHGC	Rated Wall Insulation (R)	Rated Attic (R)	Rated CFL Quantity Fixtures
Tier 1	\$200	15	3	5	.24	13	38	75%
Tier 2	\$400	16	3	4	.23	15	38	90%
Tier 3	\$500	18	3	4	.22	13+3 or 19	38	90%

Table 4: Bonus Incentive Option - Gulf Coast Region (Climate Zone 2) – 2012/2015 IECC Area

2017 Bonus Incentive Schedule – Gulf Coast Region (Climate Zone 2) – 2012/1025 IECC Area								
	Incentive Amount Per Home	Nominal Cooling SEER/GHP EER	LTO Duct Sealing (CFM25/100 SF)	Infiltration Value – Entered	Rated Window SHGC	Rated Wall Insulation (R)	Rated Attic (R)	Rated CFL Quantity Fixtures
Tier 1	\$150	15	3	5	.24	13	38	75%
Tier 2	\$300	16	3	4	.23	15	38	90%
Tier 3	\$450	18	3	4	.22	13+3 or 19	38	90%

Additional details on Program incentives are listed below.

- A. Incentive Payments are subject to the submission of required documentation, cooperation with random QA/QC (Quality Assurance/Quality Control) verification inspections, and a completed online invoice to Entergy for review and approval. Required documentation includes: (a) Completed database forms for each home/unit address; (b) Uploaded address-specific REM/Rate file for each home/unit; (c) Copy of REM/Rate Fuel Summary Report; and (d) Invoice submitted directly to Entergy through the online system.
- B. Any home with a documented minimum of ten percent (10%) savings over the 2017 Texas Baseline Reference Home, completes Section 3 (Fully-Aligned Air Barriers) and Section 5 of the most current revision of the ENERGY STAR® Thermal Enclosure System Rater Checklist, AND performs both Blower Door and Duct Blaster Testing (including leakage to outside) shall be eligible for incentives. All homes must meet the minimum energy code applicable to where it is constructed in addition to the above requirements.
- C. Successful completion of the most current revision of the ENERGY STAR® Version checklists. including: (a) Rater Design Review Checklist and Rater Field Checklist; (b) HVAC Design Report; (c) HVAC Commissioning Checklist; and (d) Water Management System Builder Requirements. Upon request, a copy of the completed and signed inspection forms and checklists shall be made available to Program staff within 3 business days.
- D. All evaporators and condensing units shall be properly matched as demonstrated by an AHRI certificate.

- E. Multi-family incentives are paid at fifty percent (50%) of single-family incentive structure. Attached residential units, with greater than two units per building and three stories or less. All units must be individually metered. Customized incentive packages may be required dependent on project size and other factors.
3. **Home Eligibility Requirements.** For purposes of this Agreement, the term "Eligibility Requirements" or "Home Eligibility Requirements" means (i) a home must be served by Entergy in an Entergy service territory as evidenced by town, zip code and ultimately a permanent meter number or ESI ID number associated with the home; (ii) the home has not received incentives from any other Entergy energy efficiency program as evidenced by the permanent meter number or ESI ID number submitted through the Program's online system; (iii) a home's construction must be completed and a permanent meter requested from Entergy between September 1, 2016 and November 30, 2017 (as determined by the Entergy permanent meter set date); (iv) the final HERS Rating for the home must be performed and dated between October 1, 2016 and November 30, 2017; and (v) the home must satisfy all Program requirements. Entergy will offer incentives to reward Homebuilders who deliver homes that meet the Program guidelines kilowatt hour (kWh) savings. The number of incentives awarded to each Homebuilder is determined through a competitive bid and scoring process. The incentive structure is designed to measure kWh savings achieved above the minimum Texas Baseline Reference Home. To be eligible for participation, a home must achieve at least a ten percent (10%) savings over the 2017 Texas Baseline Reference Home and meet all energy code requirements.
4. **Incentive Payments – Documentation.** The required Documentation (as hereinafter defined) for Incentive Payments must be submitted electronically through the Program's online system. The Homebuilder acknowledges and agrees that the Documentation for the applicable Incentive Payment request must be submitted in compliance with Performance Milestone Date Requirements, and in no event later than November 30, 2017, to qualify for Incentive Payments. The Homebuilder is responsible to have its contracted HERS Rater submit Documentation when appropriate through the online system and to ensure timely delivery of the final and proper address specific REM/Rate software file with other required database details. ICF shall verify documentation meets Program Requirements within thirty (30) days of receipt and will notify Homebuilder of any discrepancies.
- Homebuilder acknowledges that Incentive Payments are paid directly by Entergy in accordance with the ICF-Entergy Market Transformation High-Performance Homes Program Agreement. Homebuilder shall receive payment directly from Entergy within thirty (30) calendar days, after verification by Entergy of the required data, provided the Homebuilder has made timely submission of Documentation in the required manner and all Program Requirements have been met.
5. **Performance Milestone Date Requirements.** To qualify for Incentive Payments, Homebuilder must meet the following Performance Milestone Date Requirements:

Date	Performance Milestone Date Requirements
March 28, 2017	At least 20% of total committed homes must be entered on the Program’s online system; Homebuilder must have selected at least one preferred HERS Rater on the online system.
June 28, 2017	At least 40% of total committed homes must be entered on the Program’s online system and the required documentation for the invoiced homes must be uploaded on the online system.
September 28, 2017	At least 60% of total committed homes must be invoiced on the Program’s online system and the required documentation for the invoiced homes must be uploaded on the online system.
November 30, 2017	100% of total committed homes must be invoiced on the Program’s online system and the required documentation for the invoiced homes must be uploaded on the online system.

If Homebuilder fails to meet the performance date requirements, ICF may withdraw some or all of the Incentive Payments for homes for which Documentation has not yet been submitted. If ICF withdraws potential Incentive Payments it may, in its sole discretion, allow Homebuilder to reclaim a portion of any withdrawn Incentive Payments if Homebuilder exceeds future Performance Milestone Date Requirements.

6. Homebuilder Participation Requirements.

- A. **EPA Agreement.** Homebuilders participating in the Program, and wishing to build and market ENERGY STAR® qualified homes, must have submitted a signed Environmental Protection Agency (“EPA”) “ENERGY STAR® Partnership Agreement” and must remain on active status with EPA’s voluntary Program during the term of this Agreement (www.energystar.gov).
- B. **Building Permits.** Homebuilders are responsible for obtaining building permits, if required by the applicable municipality, for each home for which an Incentive Payment is requested.
- C. **Home Production Reports.** Upon request from ICF, Homebuilder agrees to provide to ICF a home production report on the first of each month. The report will include the total number of eligible home starts and completions in the Entergy service territory for the preceding month. The report shall include model/plan number, address, city and zip code for each eligible home. Homebuilder also agrees to provide ICF with a list of eligible homes and planned communities during each Performance Milestone. ICF will use this information to help confirm communities are within Entergy’s service territory.
- D. **Home Forecasts.** Homebuilder agrees to provide ICF with good faith forecasts of the number of eligible homes in the Entergy service territory that Homebuilder expects to complete by November 30, 2017. Forecasts are due at each Performance Milestone Date. Except as provided in paragraphs 5 and 6C herein, Homebuilder shall have no liability for the forecast provided to ICF and/or Entergy.
- E. **Sales Training / Presentation.** Homebuilder agrees to allow ICF to meet with its salespersons at a time designated by Homebuilder regarding High-Performance homes and the benefits thereof. Homebuilder agrees to make information regarding High-Performance homes available to its customers by displaying High-Performance information provided by ICF and approved by Homebuilder in a location in Homebuilder’s sales offices as determined by Homebuilder. Nothing herein shall obligate Homebuilder to orally inform its customers of the benefits of High-Performance.

- F. **Documentation.** Homebuilder agrees to provide the following for each home for which Homebuilder seeks an Incentive Payment (collectively, the “Documentation”): (i) entry of required home data in Entergy’s online 2017 High-Performance Program database system, and (ii) a completed site specific REM/Rate software file will be provided by the Homebuilder’s HERS Rater. Homebuilders will be responsible for entering all home starts into the system at least monthly. Homebuilders should coordinate with their HERS Rater to insure all completed homes are invoiced each month to be eligible for Incentive Payments. Within thirty (30) calendar days after the Homebuilder submits an electronic invoice through the online system, Entergy will confirm the documentation for the home meets the Eligibility Requirements of this Agreement and does not provide duplicate information concerning a previously submitted home.
- G. **Use of RESNET Approved Rater.** Homebuilder agrees to use a RESNET approved HERS Rater(s) to provide testing on its Program homes and a HERS Rating Provider(s) to prepare accurate, site specific HERS ratings through either REM/Rate software. The Homebuilder’s chosen HERS Rater(s) must be registered with the Program in order to be eligible to submit Documentation on behalf of the Homebuilder. HERS Raters are responsible for providing the address-specific REM Rate file, Fuel Summary report and all required data inputs in the “Rating Information” section of the database. Neither Entergy nor ICF shall be responsible for the agreement between Homebuilder and its chosen Raters/Providers. Additionally, neither Entergy nor ICF make any claims or warranties as to testing provided on Program homes.
7. **Term.** This Agreement shall remain in effect until December 31, 2017; provided, however, any payments due for Documentation submitted prior to November 30, 2017 shall still be due and owing. Homebuilder acknowledges that ICF reserves the right to terminate the Agreement, or modify this Agreement at any time, without prior notice, as may be required by governmental regulatory action; provided, however, if this Agreement is modified, Homebuilder shall have the right to terminate the Agreement if the modified Agreement is unacceptable to Homebuilder.
8. **Notices:** Sent to contacts as entered into the Program database.
9. **Indemnity.**

HOMEBUILDER WILL RELEASE, INDEMNIFY, AND HOLD HARMLESS ENTERGY FROM ANY AND ALL CLAIMS, DEMANDS, LOSSES, EXPENSES, DAMAGES, ATTORNEYS’ FEES, JUDGMENTS, COSTS, AND LEGAL LIABILITY ARISING FROM OR RELATED TO THE HOMEBUILDER AGREEMENT, THE HIGH-PERFORMANCE HOMES PROGRAM, OR ANY SERVICES OR PRODUCTS PROVIDED THEREUNDER, REGARDLESS OF ANY STRICT LIABILITY OR NEGLIGENCE OF ENTERGY, WHETHER ACTIVE OR PASSIVE, EXCEPTING ONLY SUCH CLAIMS AS MAY BE CAUSED BY THE GROSS NEGLIGENCE OR WILLFUL MISCONDUCT OF ENTERGY.

10. **Other Terms and Conditions.**

- A. **Assignment.** Neither ICF nor Homebuilder may assign this Agreement, except that Homebuilder or ICF may assign this Agreement to an affiliated company of such assignor. However, such assignment shall not release assignor from the terms herein.
- B. **Attorneys' Fees.** The expenses, including reasonable attorneys' fees, of any arbitration, suit or other action to enforce the terms of this Agreement or to collect any fees due pursuant to the terms of this Agreement shall be paid by the non-prevailing party in such suit or action.
- C. **Limitation on Damages.** Neither party shall be responsible for any special, incidental, or consequential damages, except in case of gross negligence or criminal or fraudulent acts in the performance of this Agreement.
- D. **No Joint Venture or Partnership.** No language in the Agreement shall be construed to make the parties legal business partners, joint ventures, representatives or agents of each other, nor shall either party so represent such to any third party.
- E. **Additional Entergy Terms.**
 - i. ICF represents, and Homebuilder acknowledges, that ICF is an independent contractor with respect to Entergy and the Program, and that ICF is not authorized to make representations or incur obligations on behalf of Entergy. Homebuilder further acknowledges that Entergy is not a party to this Agreement and that ICF and Homebuilder are solely responsible for performance hereunder. Homebuilder agrees that Entergy is a third-party beneficiary of this Agreement and, as such, may rely on the representations made herein by Homebuilder and enforce Homebuilder's obligations hereunder to the extent the same are applicable to Entergy.
 - ii. Homebuilder agrees upon three (3) calendar days' prior verbal notice, to provide Entergy, ICF and any independent measurement and verification person selected by the Public Utility Commission of Texas with full and complete access to any Program home for any purpose related to the Program. Access shall be in compliance with the Homebuilder's reasonable access requirements.
 - iii. ANY REVIEW, INSPECTION, OR ACCEPTANCE BY ENTERGY OF THE PROJECT SITE OR OF THE DESIGN, CONSTRUCTION, INSTALLATION, OPERATION OR MAINTENANCE OF ANY HIGH- PERFORMANCE[®] HOME, OR OTHER PROGRAM ELIGIBLE HOME, IS SOLELY FOR THE INFORMATION OF ENTERGY AND THAT, IN PERFORMING ANY SUCH INSPECTION OR REVIEW OR IN ACCEPTING A HIGH-PERFORMANCE[®] HOME, OR OTHER PROGRAM ELIGIBLE HOMES, ENTERGY MAKES NO REPRESENTATIONS OR WARRANTY WHATSOEVER, WHETHER EXPRESS OR IMPLIED, INCLUDING WITHOUT LIMITATION, WARRANTY OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, AS TO THE ECONOMIC OR TECHNICAL FEASIBILITY, CAPABILITY, SAFETY, OR RELIABILITY OF THE HIGH-PERFORMANCE[®] HOME OR ITS CONSTRUCTION BY HOMEBUILDER.

- iv. Homebuilder acknowledges that Entergy makes no express warranty or representation regarding the qualifications of ICF.

Builder: _____

Authorized Representative: _____

Title: _____

Signature: _____

Date: _____

Program Administrator: **ICF Resources, L.L.C.**

Authorized Representative: **Maci McDaniel**

Title: **Senior Manager**

Signature: _____

Date: _____